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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Gianluigi HOTELLIER et al.)
Serial No.: 09/966,115) Group Art Unit:
Filed: October 1, 2001) Examiner:
For: PROCESS FOR PRODUCING A)
SILICA-REINFORCED RUBBER)
COMPOUND)

**Assistant Commissioner for Patents
Washington, DC 20231**

Sir:

**FILING OF THE LITERAL TRANSLATION OF THE PROVISIONAL
APPLICATION UNDER 35 U.S.C. 119(e)**

Enclosed is the literal translation of the Provisional Application Serial No 60/134,665 which was filed on May 18, 1999. The translation is being filed in accordance with 37 CFR 1.78(a) and within the time period set forth in 37 CFR 1.78(a)(5). Also enclosed is a verification by the translator testifying to the accuracy of the translation.

Please associate this translation with the application as filed on October 1, 2001 and assigned serial number 09/966,115.

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Authorization is hereby given to charge any fees associated with this
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Dated: January 2, 2002

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IN THE UNITED STATES OF PATENT AND TRADEMARK OFFICE

I, Paolo Guella

of Pirelli S.p.A. of Viale Sarca 222, 20126 Milan, Italy, declare:

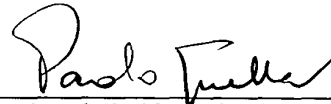
That I am a citizen of Italy.

That I am well acquainted with the Italian and English language.

3. That the attached is, to the best of my knowledge and belief, a true translation into the English language of the specification in Italian filed with the provisional application in the UNITED STATES entitled:

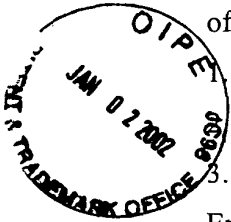
"PROCESS FOR PRODUCING A SILICA-REINFORCED RUBBER COMPOUND"

4. That I believe that all statements made herein of my knowledge are true and that all statements made on information and belief are true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent application in the United States of America or any patent issuing thereon.



For and on behalf of Pirelli S.p.A.

The 15th day of June, 1999



**PROCESS FOR PRODUCING A SILICA-REINFORCED RUBBER
COMPOUND**

The present invention relates to a process for
5 producing a rubber compound charged with silica and
more particularly to a method for controlling the
process parameters during the mechanical processing of
this compound in a closed batch mixer.

Hereinbelow, the expression "closed batch mixer" is
10 intended to denote a device comprising a closed
container inside which is housed a pair of rotors
rotating in opposite directions, to mix the various
ingredients of a compound. The device further comprises
a pneumatic cylinder located at the top of the
15 container, the piston of which is driven either upwards
to open the container and allow the introduction of the
ingredients of the compound from suitable filling
hoppers, or downwards to exert a pressure on the
material being processed in the container, above the
20 pair of rotors.

A pneumatic system placed at the bottom of the
container allows the compound to be emptied out at the
end of the processing cycle, via the opening of a
suitable outlet.

25 Devices of the type mentioned above have been known for
some time: in particular, there are devices of the
"Banbury[®]" type which process the material by means of
a pair of tangential rotors, while different devices
known under the name "Intermix[®]" process the material
30 by means of a pair of intermeshing rotors.

A rubber compound which can be prepared by the process
of the invention is of the type comprising an
unsaturated-chain polymer base which can be hot-
crosslinked with sulphur, which are added to at least
35 one silica filler and a silica-binding agent containing
at least one sulphur atom.

In the description hereinbelow and in the claims, the
term "crosslinkable unsaturated-chain polymer base" is
intended to denote any natural or synthetic, non-

crosslinked polymer, or mixture of polymers, capable of acquiring all the typical physicochemical and mechanical properties of elastomers after crosslinking (vulcanization) with sulphur-based systems.

5 In the description hereinbelow and in the subsequent claims, the term "silica-based reinforcing filler" is intended to denote a reinforcing agent based on silicon dioxide (silica), silicates and mixtures thereof, with a surface area, measured by the BET method, of between
10 80 and 220 m²/g, preferably between 160 and 180 m²/g.

As is known, compounds reinforced with silica fillers are especially used in the production of semi-finished rubbers for tyres, in particular tread bands, since these compounds allow a reduction in the tyre's
15 resistance to rolling when the silica is chemically bonded to the polymer base following subsequent reactions with a binder made of a silane.

The present invention is based on the perception of the problem of the uniformity and reproducibility of the
20 mechanical and rheometric properties of a compound produced for finite and sequential amounts, as being dependent on the values of certain process parameters, which can vary continuously during the process.

In general, it is thought that the difficulties in
25 processing silica-charged compounds are based on the fact that good homogenization of the silica in the polymer would require energetic mechanical processing, with the consequent development of high temperatures, whereas the reaction of silane with the polymer and
30 with silica should be carried out under more controlled temperatures so as not to initiate premature crosslinking of the silane.

Hereinbelow, the material being processed during the various compounding and cooling phases will be referred
35 to as the blend, while the blend discharged at the end of the processing cycle, generally after also adding the vulcanizing system, will be referred to hereinbelow as the compound.

A general process for the mechanical processing of a rubber compound with silica and silane is given in the publication "Silica based tread compounds: Background and performances", page 14, Table IV, published by
5 Degussa on the occasion of the Tyrettech '96 conference held at Bâle on 28-29 October 1993.

According to this process, the silica and the silane are simultaneously loaded into the compound being processed, which is blended while keeping the
10 processing temperature below 160-165°C, in order to avoid premature crosslinking of the silane by exceeding this temperature.

US patent 5,227,425 describes a process for producing tread bands obtained by mixing a polymer base with a
15 high content of silica and addition of a silane. The polymer base is formed from a diene conjugated with a vinylaromatic compound: the diene has a content of vinyl groups of between 5% and 50%.

The polymer base and the silica are processed
20 mechanically in a closed batch mixer, or in an extruder, until a temperature of at least 130°C is reached, and preferably between 145°C and 180°C, but not exceeding 180°C.

According to another process, the polymer and the
25 silica are subjected to mechanical processing in two separate phases separated by a cooling phase.

In the first phase of this latter process, the polymer base, the silica and the binder are subjected to mechanical processing until a temperature of greater
30 than 145°C is reached, preferably between 145 and 170°C.

After removing this blend from the mixer, it is cooled to a temperature below 100°C and preferably not above 60°C; this is then followed by a second phase of
35 mechanical processing in a batch mixer together with other ingredients, excluding the vulcanization agents, until a temperature of between 145 and 170°C is again reached.

The vulcanizing system is then added to the blend by means of a final phase of mechanical processing, in an open two-cylinder mixer, at a temperature below 100°C.

Italian patent application No. 95IT-MI000359A from the

5 Applicant describes a process in which, for the purpose of improving the dispersion of the silica in the polymer base, the polymer base is first mixed with the silica in a closed batch mixer until a temperature of between 165° and 180° is reached, after which the blend
10 is cooled to room temperature.

In a second phase, the silane is added and the blend is subjected to further intimate mixing, in a closed batch mixer, until a temperature of 135°C is reached; the blend is then cooled again to room temperature.

15 In a final phase, the ingredients of the vulcanizing system are added and further mixing is carried out in a closed batch mixer, without exceeding a temperature of 110°C.

It should be noted that the final properties of a
20 ready-to-use compound, and consequently the quality of the finished product, depend not only on its formula, but also, to a large extent, on the consistency of the properties of the ingredients used, which can vary from one batch to another, and on the consistency of the
25 particular processing carried out, identified by the process parameter values, which can themselves vary randomly during processing of the blend.

For this reason, a high-quality compound produced by processes which use batch mixers is obtained by first
30 producing a test compound and checking the properties of a number of samples of the said compound after vulcanization and then, in the event of one or more of these properties being unacceptable, correcting the values of the various process parameters as and when
35 necessary by trial and error, until the desired result is achieved.

After the values of the various process parameters have been preset in the abovementioned manner, the consistency of the properties of the compound produced,

which is necessary in order to ensure that the product has the desired requirements, is ensured by carrying out repeated checks on the properties both of the blend and of the final compound.

- 5 From the Applicant's point of view, the problem to be solved is now that of ensuring the reproducibility of the properties of the approved compound, for all the identical compounds subsequently produced, batch after batch.
- 10 Currently, before approving the compound for subsequent use and authorizing the production of a new batch, a range of checks on the mixture's physicomachanical properties are carried out; this involves long waiting times before the results of the tests are known and the
- 15 risk of having produced large amounts of unsuitable material, which will have to be discarded, before being able to ascertain this unsuitability.
- In particular, evaluation of the correct result for the processing as regards compounds comprising silica and
- 20 silane requires a large number of controls on both the raw and vulcanized compound.
- The reason for this, it should be observed, is that in order to be able to be used in a tyre, the final compound needs to show a uniform dispersion of the
- 25 silica filler in the polymer base, which can be obtained during the processing of the blend in the batch mixer, and needs to have undergone a correct chemical reaction between the silica and the silane.
- The quality and variability of the product which is
- 30 vulcanized, with the silica-charged compounds and for each specific compound formulation, depends substantially on the embodiment of these process phases, which are respectively referred to hereinbelow by the terms "silicization" and "silanization", which
- 35 cannot be encountered in the processing of other polymers to which are added various ingredients and reinforcing fillers other than silica, for example conventional carbon black fillers.

By carrying out systematic checks on the compounds, it has been found that the compound properties considered acceptable can be within a wide range of values; in essence, a wide variability of these values has been encountered, such that it is possible for two compounds, nominally identical but manufactured one after the other, though performing satisfactorily in use, nevertheless to have properties that are very different from each other.

10 It should furthermore be noted that the fundamental requirement for tyres of each particular type is the reproducibility of the performance characteristics.

Purely by way of example, the market specifically asks for tyres with uniform "handling" performance characteristics, which depends to a large extent on the slip force developed by the tyre.

The slip force depends, among other things, on the dynamic modulus of the tread compound, the value of which is greatly influenced by the variability of the process parameters which control the abovementioned phases of "silicization" and "silanization", respectively.

Unfortunately, as has been stated, the process of the prior art, based on post-checking of the compounds produced, does not make it possible to achieve, as would be desired, in particular for the compounds towards which the present invention is specifically directed, high uniformity of performance characteristics between products incorporating these compounds, manufactured one after the other, unless there is a narrowing of the accepted tolerance range, and thus an increased selection of the compounds produced.

The Applicant has found that it is possible to avoid having to systematically check the acceptability of the blends, and to reduce the number of tests on the compound, while simultaneously reducing the variability of properties between the vulcanized products incorporating these compounds, by solving the problem

upstream, that is to say by guaranteeing the quality of the raw compound by means of continuously controlling the blend processing process.

In a first approach to the problem, the Applicant felt that the solution might be found in defining an optimum profile of the power absorbed and of the temperatures developed by the blend during its processing, in particular in the phases of "silicization" and "silanization", and in keeping the said profile within preset limits by controlling the mechanical power given to the blend, in order to control the temperature reached by the blend.

However, it was subsequently observed that controlling the mechanical power absorbed from the mixer was not able alone to solve the problem since, on account of the thermal inertia of the blend and of the temperature measuring system, it was not possible to have a precise indication, in real time, as to how much the temperature had actually changed as a result of a programmed variation in the mechanical power given to the blend, with the risk that the blend might remain for a certain time subjected to temperature values not suitable for the processing cycle taking place.

For example, an increase in the mechanical power given to the blend at a precise moment and for a preset period, although giving rise to an increase in temperature which was desired and necessary in that particular phase of processing of the blend, did not exclude the possibility that the abovementioned variation in temperature over this certain period of time might have been too large, leading to temperature values which were unacceptable in the subsequent phases of processing of the blend.

Moreover, mere observation of the temperature variations, although constituting proof that a variation in the mechanical power absorbed by the mixer had taken place, did not, however, make it possible to assess the exact value; the consequence of this is that the entire processing cycle might have included,

needless, unwanted and in some cases excessive energy consumptions.

Thus, the problem remained of how to vary the temperature of the blend sufficiently quickly, in addition to the variations in power transferred, to adjust it moment by moment to the optimum processing conditions.

The Applicant has observed that the solution to the problem can be found by carrying out a simultaneous and constant check over time of the main values involved during the cycle of processing of the blend in the closed mixer, i.e. the mechanical power absorbed, governed by the number of rotations of the rotors, and the temperature developed by the blend, together with the fact of using the movements of the piston in the cylinder present on the mixer hopper in order to continually adjust, by means of varying the pressure exerted on the blend, the temperature values to the requirements of the preset production cycle in order to obtain a uniform dispersion of the silica in the blend and a smooth chemical reaction between the polymer, the silica and the silane.

In a first aspect, the invention relates to a process for manufacturing a tyre compound comprising as a minimum a polymer base, a silica reinforcing filler, a silica-binding agent and further product and process additives, the said process comprising at least a first phase of processing the said ingredients to produce a blend and at least a second phase of processing the said ingredients to add a crosslinking system to the said blend and produce the said compound, at least the said first processing phase being carried out in a closed mixer comprising a container inside which rotates a pair of rotors, a hopper for introducing the said ingredients, a piston located above the said container which can move to and from the said pair of rotors to press the said ingredients between the rotors, and an outlet below the said container for discharging the said blend, the said process being

identified by at least two indirect process parameters, namely the power absorbed by the said pair of rotors and the temperature of the said blend, and by at least two direct process parameters, namely the number of rotations of the said pair of rotors and the pressure exerted by the said piston, the said process comprising the phases of:

- checking, during the manufacturing cycle, at least the values of the said two indirect process parameters, with an interval between two successive checks which is not greater than two minutes,
- controlling the variable change of the said values by means of varying at least one of the said direct process parameters, so as to keep the values of each of the said indirect parameters within their respective ranges of predetermined values.

In particular, the process is specifically used for the manufacture of compounds comprising at least the following ingredients, in variable amounts, per one hundred parts by weight (phr) of polymer base, between the following limits:

	Polymer base	100
25	Carbon black	0-80
	Silica	10-80
	Silica-binding agent	4%-15% of the silica
	Zinc oxide (ZnO)	1-3
	Stearic acid	0-3
30	Anti-deteriorating agents	1-3
	Plasticizing oil	0-30
	Anti-ozone wax	0.5-3
	Specific chemical ingredients	0-15

In accordance with this aspect of the invention, the ranges of values for the said process parameters are predetermined in relation to each specific compound to be produced.

The method for predetermining the said ranges of values preferably comprises at least the following phases:

- 5 a) determining, in a specific reference compound, the average values and the related tolerance range for the said values for a plurality of properties both for the particular blend and for the compound, before and after vulcanization;
- 10 b) producing a sample compound using selected initial process parameters;
- 10 c) comparing the values of each of the said properties measured in the said sample compound with the corresponding values of the said reference compound;
- 15 d) modifying at least one of the said initial process parameters in relation to the values measured in the said sample compound which may be outside the said tolerance ranges;
- 20 e) repeating phases b), c) and d) until all the values for the said properties measured in the said sample compound are within the said predetermined ranges of values;
- 25 f) setting, as process parameters for each specific compound to be produced, the average values and the variability range of the said process parameters which generate values of the said properties measured in the said sample compound which are within the said predetermined ranges of values.

30 The process preferably involves checking the viscosity values and the percentage of silane reacted with silica, in the blend of the sample compound, free of crosslinking system. The viscosity values and the percentage of silane reacted with silica are preferably also checked in the sample compound, before vulcanization, together with the values of certain

35 rheometric properties.

Lastly, the density values and the values of a number of dynamometric properties, such as hardness, elastic modulus, breaking load and elongation, are preferably checked on the sample compound after vulcanization.

In a preferred embodiment of the process, the said first phase of processing the said ingredients comprises a phase of silicization followed by a phase of silanization, the said silicization phase being
5 carried out at a substantially increasing temperature, the said silanization phase being carried out at a substantially constant temperature and, more preferably, in combination or alternatively, with the speed of rotation of the said rotors substantially
10 constant.

Even more preferably, the said silicization phase comprises at least three processing cycles carried out at different rotation speeds of the said rotors, with the said rotation speeds gradually decreasing, and with
15 the said substantially increasing temperature produced by means of at least three peaks of supplied power.

The said power peaks are preferably obtained by lowering the said piston towards the pair of rotors.

In a different aspect, the invention relates to a
20 rubber compound for tyres comprising at least the following ingredients, in variable amounts, per one hundred parts by weight (phr) of polymer base, between the following limits:

	Polymer base	100
25	Carbon black	0-80
	Silica	10-80
	Silica-binding agent	4%-15% of the silica
	Zinc oxide (ZnO)	1-3
	Stearic acid	0-3
30	Anti-deteriorating agents	1-3
	Plasticizing oil	0-30
	Anti-ozone wax	0.5-3
	Specific chemical ingredients	0-15

which is characterized in that it is manufactured by
35 the process described above according to the invention.

In yet another aspect, the invention relates to a tyre for vehicle wheels which is provided with a tread band made of a rubber compound comprising a silica filler in an amount of between 40 and 80 parts by weight per

100 parts by weight of the polymer base and a silica-binding agent in an amount of between 4% and 15% of the silica filler, characterized in that the said rubber compound is produced by the process of the invention.

5 In any case, a better understanding of the present invention will be gained with the aid of the description which follows and the attached figures, which are given for purely illustrative and non-limiting purposes, in which:

10 Figure 1 is a schematic representation of a known closed batch mixer, with tangential-type rotors, to carry out the process for manufacturing compounds according to the invention;

15 Figure 2 shows, in a Cartesian diagram, the variations in the values of the main process parameters during a production cycle inside the mixer in Figure 1.

The device 1 comprises a stainless steel container 2, inside which rotates a pair of rotors 3 each in the shape of a broken spiral (not illustrated) rotating in opposite directions to each other, a loading hopper 4, a pneumatic or hydraulic cylinder 5 placed at the top of the container, a discharge outlet closed off by a closure member 6 and placed at the bottom of the container, and a device 6' for actuating the closure member in order to open and close the outlet.

25 The cylinder 5 in turn comprises a piston, which moves axially relative to the cylinder and consists of a piston rod 7 ending with a head 8 designed to close off the working chamber after introduction of the various ingredients and to keep the process blend 9 pressed between the rotors and the container.

30 The pressure exerted by the piston on the blend being processed between the rotors can be controlled by varying the stroke of the piston relative to the cylinder, that is to say the position of the head relative to the container, and expressed in terms of the said stroke or the said position, or alternatively

by directly varying the value of the specific pressure exerted by the piston on the material being processed, by means of the system (for example the hydraulic or pneumatic system) for actuating the piston.

5 The process for the mechanical processing of the materials introduced into the mixer 1 will now be described with reference to the curves in Figure 2 which relate to a hypothetical compound formulation and are thus exclusively qualitative in nature, purely for
10 the purpose of illustration: it is clear that each specific compound formulation can have its own reference diagram.

Figure 2 illustrates the main direct and indirect process parameters, against time, in the course of a
15 working cycle, and specifically the curve "n" of the number of rotations of the pair of rotors, the curve "p" of the mechanical power absorbed by the blend during the working cycle, the curve "t" of the temperature of the blend inside the device, the curve
20 "e" relating to the energy consumed during the working cycle, together with the sequence of reciprocating movements of the pressing piston.

In the graph in Figure 2 the left-hand y-axis shows the temperatures and the number of rotations of the pair of
25 rotors, the right-hand axis shows the power and energy; the bottom x-axis show the total cycle time, measured in seconds, and the top axis shows the partial times between the successive movements of the pressing piston.

30 The power is expressed in kW, the rotations of the rotors are expressed in revolutions per minute and the temperatures are given in degrees Centigrade: normal standard thermocouples can be used to measure the temperature, for example ordinary thermocouples made of
35 iron-Constantan bimetal. The thermocouples are placed inside the container, mounted on the shoulders and/or on the apex of the closure member for the discharge outlet.

The temperature values are controlled continuously during the processing: the actual temperature values of the blend during processing, relative to those read by the thermocouples, may need to be increased by 5 to 5 20°C depending on the processing phase and the thermocouples used.

The energy is determined by the integral of the power curve as a function of time: the integrating instrument is fitted to the mixer motors.

- 10 The indirect process parameters, such as the power absorbed by the pair of rotors and the temperature of the blend, are checked during the processing phase according to a predetermined time sequence with an interval between two successive checks which is 15 generally not more than two minutes, preferably not more than 30 seconds and even more preferably not more than 15 seconds, so as to be able to correct, in real time, any variations thereof within the limits of the allowed tolerance ranges.
- 20 This frequency is determined on the basis of the type of compound which it is desired to prepare, since it is substantially influenced by the thermal inertia of the blend undergoing processing: by way of example, it is noted that for specific types of high-speed compounds, 25 the said optimum interval for checking the blend proved to be about one second.

Whenever necessary or suitable, these indirect process parameters are checked at even shorter intervals, less than one second, during the separate processing phases 30 mentioned above, so as to minimize deviation of the values for the said parameters away from the average preset value.

The data relating to the process values measured by the instruments mentioned above are plotted, as shown in 35 Figure 2, using any commercially available instrument of known type.

As a non-limiting guide, the instrument known as "Registratore Videografico RSX Progeny" [RSX Progeny

Videographic Recorder] sold by Leeds+Northrup was used to plot the curves in Figure 2.

This device is used to record the curves in Figure 2 on paper, as well as for the management, recording and display of the process curves and data.

At the start of the working cycle, the piston is raised fully to allow the introduction into the mixer of a charge of material comprising, as a minimum, a polymer or a mixture of polymers, a silica filler and a silica-binding agent. The amount of material charged depends on the volume of the mixer, and in this case is preferably between 220 and 250 kg, and the charging time (t_1) is about 25 seconds.

As is seen in the diagram in Figure 2, during this period the curve of the mechanical power absorbed shows a minimum value due to the fact that the pair of rotors, already rotating at a high and constant number of revolutions (e.g.: 40), has not yet started the mechanical processing of the materials; in this section, the curve relating to the temperature shows a decrease due to the fact that the thermocouples measure the temperature inside the container, which is cooling down after the previous discharge of blend and the subsequent introduction of fresh material at room temperature.

All of the silica can be introduced at the start of the processing cycle or, preferably, in at least two separate phases of the abovementioned cycle.

The material introduced into the device and discharged from the mixer at the end of the cycle will be defined altogether hereinbelow by the term "blend".

Once the material has been loaded in, the piston is lowered to compress the material inside the container; here and in the rest of the description, the movements of the piston are always considered as instantaneous, and are represented graphically in Figure 2 by straight lines parallel to the y-axis, with an arrow indicating the direction of movement, closure being towards the x-axis. Following this lowering, the mechanical power

absorbed increases rapidly. (A-B) until it reaches a peak value (B) since the rotors, which are kept rotating at constant speed, exert the maximum force to break up and mix together the components of the blend, which still have high viscosity values, and also the temperature starts to increase. Subsequently, as mixing and the temperature increase proceed, the viscosity reduces, as does the power absorption, which falls to lower values (B-C), reaching the value C at the end of period t_2 (about 40-50 s).

At this point, preferably, the number of rotations of the rotors undergoes a first decrease (by about 10%) and the piston is simultaneously raised, such that the power absorbed falls to the value D: however, after a few moments, the piston once again moves down (t_3), bringing about a new peak E of absorbed power, after which the value falls once again (during t_4) down to F owing to the gradual decrease in viscosity, which is also associated with the continuous increase in temperature which reaches a value of about 90°C.

The raising of the piston also has the effect of propelling towards the rotors those portions of charge which, after escaping the blend in the form of powders between the body of the piston and the walls of the container, have accumulated on the closing surface of the device. The various percentages of the components of the blend are thus returned to the predetermined values, which is an essential premise for achieving the final properties of the blend.

The operation for managing the processing of the blend by means of the piston, according to one characteristic of the invention, makes it possible to control the change of the temperature over time within desired and necessary values for correct formation of the final product.

In point of fact, by reducing the pressure on the material being processed, raising the piston graduates the increase in the temperature with a predetermined gradient and has the effect of keeping this parameter

within acceptable values, so as not to negatively affect the properties of the blend being processed.

In parallel, variation of the number of rotations of the rotors is used both for the purpose of optimizing
5 the mechanical processing and for controlling the temperature.

The total working time from the start of the cycle up to the point F, for silica-charged compounds, can be between 95 and 115 seconds.

10 Once point F is reached, the incorporation of the silica filler in the polymer matrix is judged satisfactory; further ingredients are therefore introduced into the mixer. A residual amount of silica, equal to about 25% of the total amount, is preferably
15 introduced in this phase.

The operation is carried out by raising the piston (F-G) and keeping it in this position throughout the time required (t_5): during this operation, the power absorption falls to very low values (G-H) and the
20 temperature of the blend also falls, not only due to the removal of the piston pressure, but also because of the introduction of ingredients at room temperature, among which there may be included, in particular, liquid ingredients, such as the plasticizing oil, which
25 promote this cooling.

Once the charging is complete, the piston is once again lowered and held pressed against the blend (t_6), resulting in a new peak (K) of power absorbed and a corresponding increase in temperature.

30 The total working time from the start of the cycle up to the point K, for silica-charged compounds, can be between 175 and 195 seconds.

Other (one or more) interventions are subsequently carried out on the direct process parameters, i.e.
35 variations in the number of rotations of the rotors (for example two reductions of about 40% each) and/or movements of the pressing piston, with consequent peaks (J, M) of absorbed power, in combination with or separately from each other, of preset duration (t_7 , t_8 ,

t_9), in order to complete the dispersion and homogenization in the polymer matrix both of the silica and of the other newly needed ingredients.

It is considered that at the end of this processing phase, which extends from the start of the cycle to the end of the period t_9 , indicated on the power curve by the peak M following the final lowering of the piston, optimum dispersion of the fillers in the polymer matrix has been achieved: this phase is referred to as the silicization phase.

At the end of this phase, in accordance with the invention, the temperature of the blend has reached its maximum value, of about 140°C , along a temperature profile which always remains within a range of preset values.

At this point, the said silanization phase begins, which occupies the entire subsequent period to the end of the cycle, during which the chemical silanization reaction between the silane, the silica and the polymer takes place.

In this phase, the pair of rotors rotates at low speed (preferably of about 5-10 rpm) in order to keep the temperature substantially constant; this is possible since, as the dispersion of the silica as well as of the other components in the polymer matrix has already been carried out, it is no longer necessary to put a large amount of mechanical work into the blend.

In accordance with the invention, the temperature parameter is kept at a substantially constant value between the values T_1 , reached at the peak M, and T_2 , at the end of the cycle, controlling the raising of the piston according to predetermined successive sequences (t_{10} , t_{11} , t_{12} , t_{13}), shown in the diagram in Figure 2, between the peak values M and U of the power absorbed.

In practice, the temperature is kept substantially constant by means of the heat stored in the previous phases, using the piston only to correct any changes in temperature away from the preset average value, in particular changes larger than the variation range

allowed around the said average value. By way of example, suppose that at the end of the period t_{11} , the temperature is too close to the upper limit of the said variation range, in particular with a steeply rising
5 gradient; the raising of the piston carried out at the end of period t_{11} produces a sharp fall in the absorbed power (Q,R,S) which brings the temperature back within the preset limits.

The time for completion of the silanization from the
10 start of the cycle (M) is between 252 and 278 seconds and the average duration of the silanization phase is about 225 seconds.

Finally, provision is made for discharging the blend. The operation is carried out by opening the discharge
15 outlet and increasing the number of revolutions of the pair of rotors, preferably returning it to the value at the start of the cycle. In this phase, the power absorbed shows a new peak value U which is immediately reduced after discharging the blend. The energy
20 consumed on average by the entire working cycle described, given by curve "e" in Figure 2, is about 0.12-0.15 kWh/kg of compound produced.

The blend removed from the mixer at a temperature of about 140°C is converted into a sheet and cooled to
25 room temperature, after which it is introduced into a closed batch mixer together with the crosslinking agent. This mixer can be the same mixer used for the previous phases or another mixer, referred to hereinbelow as the second mixer, to distinguish it from
30 the one already mentioned above, for the purpose of greater clarity.

In the second mixer, the mixing phase required to disperse the vulcanizing system in the blend is carried out, taking care to keep the temperature below 110°C so
35 as not to initiate premature crosslinking phenomena.

Checking of the temperature is also preferably carried out in this phase, as described previously, by means of movements of the piston optionally in combination with variations in the number of rotations of the rotors.

The processing of the material in the first mixer, i.e. the blend phase, in particular for silica-charged compounds, conditions the properties of the material (compound) obtained in the subsequent phases.

5 For the type of compound towards which the process of the invention is directed, the critical phases are those of silicization and silanization carried out during the processing of the blend in the first mixer. The subsequent phases carried out in the second mixer
10 are substantially less critical in the process.

Advantageously, as is moreover clear from the diagram in Figure 2, the simultaneous moment by moment observation, in the first mixer, of the main process parameters makes it possible to check whether or not
15 they remain within a range of acceptability values predetermined at the start, as will be explained briefly.

In the case in which one of these parameters at any moment of the processing in the mixer is outside the
20 acceptability range, the irregularity can be pointed out immediately and the necessary process modifications can be made to bring the given parameter back within the desired limits.

The acceptability range within which the process
25 parameters can vary is set taking into account the characteristics of the ingredients used and the variability which can be accepted for a reference compound, as well as its specific end use.

Hereinbelow, the expression "reference compound" is
30 intended to denote a compound whose physical and mechanical properties are such that, when the compound forms part of the final product, they determine the performance characteristics required for the said product, for example the performance characteristics of
35 a tread band.

The properties of a "reference compound" comprise those of the blend leaving the first mixer, those of the raw compound removed from a second mixer, in which the blend leaving the first mixer has been mixed with the

crosslinking system, and those of the vulcanized compound.

Among the most important properties of the blend removed from the first mixer are the viscosity and the
5 percentage of silanization, among those of the raw compound leaving the second mixer are again the viscosity and the percentage of silanization, along with the rheometric properties, and among those of the vulcanized compound are the dispersion of the
10 ingredients, the density, the hardness, the static and dynamic moduli and the tan-delta value.

It is assumed at this point that the process described above with the aid of Figures 1 and 2 is an initial cycle to define the process for producing a compound
15 and it is desired to determine the acceptability range of the process parameters.

The process for determining this acceptability range is carried out as follows.

A large number of fillers, both for blends and for
20 compounds, are produced several times, under conditions of uniformity of the starting materials and optimum efficiency of the systems and of the machinery, using the sample process.

The properties of the above product are measured and
25 are compared with those for the blend and for the reference compound, respectively, and with the values of the process parameters used.

The limits within which each process parameter can vary in order to obtain product properties within the preset
30 limits are determined by means of a statistical calculation method.

The quantitative limits between which the ingredients in the composition of a typical compound, which can be produced by the process according to the invention and
35 the machine in Figure 1, vary are given hereinbelow for purely indicative and non-limiting purposes.

The amounts of the ingredients are expressed as parts by weight per 100 parts (phr) of polymer material:

	Polymer base	100
	Carbon black	0-80
	Silica	10-80
	Binder	4%-15% of the silica
5	Zinc oxide (ZnO)	1-3
	Stearic acid	0-3
	Anti-deteriorating agents	1-3
	Plasticizing oil	0-30
	Anti-ozone wax	0.5-3
10	Specific chemical ingredients	0-15

Among the polymer bases which are preferred are unsaturated-chain polymers or copolymers obtained by polymerization of conjugated dienes and/or vinylaliphatic or vinylaromatic monomers.

- 15 The polymer bases can be chosen more particularly from the group comprising: natural rubber, 1,4-cis-polybutadiene, polychloroprene, 1,4-cis-polyisoprene, optionally halogenated isoprene/isobutene copolymers, butadiene/acrylonitrile copolymers, styrene/butadiene
- 20 copolymers and styrene/butadiene/isoprene terpolymers, obtained either in solution or in emulsion, ethylene/propylene/diene terpolymers and mixtures thereof.

The silica has a surface area, measured according to

25 the BET method, preferably of between 100 and 300 m²/g; for example, the silica of the type VN3 sold by Degussa.

The silica-binding agents are silanes, and in particular the following can be used:

- 30 bis(2-triethoxysilylpropyl) tetrasulphide,
bis(3-trimethoxysilylpropyl) tetrasulphide,
bis(2-trimethoxysilylethyl) tetrasulphide.

The silane known commercially by the reference code Si 69, i.e. bis(3-triethoxysilylpropyl) tetrasulphane,

35 sold by Degussa is preferably used.

A typical crosslinking system for silica-charged compounds is the one indicated below, expressed as parts by weight of the ingredients per 100 parts of polymer material:

sulphur	1-2
diphenylguanidine (DPG)	0.5-2
sulphenamide (CBS)	1-3

These particulars have been set out, a number of tables
5 are now given, purely by way of example, in which the
properties of a reference compound of defined
composition and a further table relating to the
acceptability ranges of the process parameter values
are indicated.

10 More particularly, the tables relate to the following:
Table 1 indicates the values for the properties of the
blend;

Table 2 indicates the values for the properties of the
raw compound leaving the second mixer;

15 Table 3 indicates the values for the properties of the
vulcanized compound;

Table 4 indicates the acceptability ranges for the
values of the main process parameters to obtain
products whose properties lie within the ranges of

20 limit values set at the start.

These acceptability ranges are defined by the tolerance
limits for deviation from the average value, which are
given in the column headed with the symbol \pm .

The tables relate to the processing, in the first
25 mixer, of a 230 kg charge of material, which was
optimized relative to the volume of the mixer and its
density. The material introduced into the first mixer
has a composition chosen from those given previously,
in particular made up as specified below.

30 **FORMULATION**

SBR1712	75
SBR1500	25
Black 234	30
Silica VN3	35
35 Silane	7
Stearic acid	2
Wax	1.5
6PPD	1.5
Styrene resin	7.5

Predispersed 80% zinc oxide	3.125
95 % sulphur	1
Sulphenamide TBBS	1.5
Retardant PVI	0.2
5 Predispersed 80% DPG80 diphenylguanidine	1.25

Table 1

Properties	Average value	Variability
Viscosity (ML 1+4 at 100°C)	125	±5%
% silane reacted with silica	85	±3

- 10 The viscosity is measured in Mooney units by means of the methods described in ISO standard 289/1. The percentage of silane reacted with silica is determined using a known method of extraction with chemical solvents, carried out on test samples of the blend.

Table 2

Properties	Average value	Variability
Viscosity (ML 1+4 at 100°C)	68	±5%
% silane reacted with silica	88	±3
Rheometric properties		
M _L (dN*m)	2.3	±15%
t ₁₀ (sec)	1.3	±10%
t ₃₀ (sec)	2.0	±10%
t ₆₀ (sec)	2.8	±10%
t ₉₀ (sec)	4.2	±10%
M _H (dN*m)	15.6	±6%

- 15 The rheometric properties are measured in this case according to ISO standard 6502, using an instrument of the MDR type at a temperature of 170°C.

- 20 The rheometric units M_L and M_H are expressed in dN*m (deciNewtons per metre) corresponding to the initial force and the maximum force exerted by a device oscillating in the test sample of compound heated to a given temperature.

- 25 The vulcanization curve initially shows a saddle where the minimum force occurs, roughly corresponding to the plastic state (M_L). The curve then rises again

gradually up to a maximum constant value (M_H) corresponding to the level of vulcanization in which the instrument exerts the maximum force.

The properties indicated in Table 2 by the symbols t_{xx} are expressed in seconds and each indicates the time required to reach the percentage XX of the difference between the maximum force and the minimum force.

Table 3 below indicates the properties of a test sample of the compound removed from the second mixer after vulcanization for 30' (minutes) at a temperature of 151°C.

Table 3

Properties		Average value	Variability
Density	(g/cm ³)	1.198	±0.003
Modulus CA 0.5	(MPa)	1.5	±10%
Modulus CA 1	(MPa)	2.6	±10%
Modulus CA 3	(MPa)	11.0	±6%
Load at break	(MPa)	20.2	not <18.2
Breaking elongation	(%)	550	not <490
Hardness	(IRHD)	73.5	±1.5
E' at 23°C	(100 Hz)	10.3	±5%
E'' at 23°C	(100 Hz)	3.4	±5%
tan-delta at 23°C	(100 Hz)	0.330	±10%
X dispersion		>5	
Y dispersion		>9	

The density is measured by the process defined in ISO standard 2781.

The dynamometric properties are expressed by the moduli measured along the force/deformation curve plotted in a Cartesian diagram, with the forces expressed in MPa on the y-axis and the deformations on the x-axis.

The test methods are defined in ISO standard 37.

The symbols CA 0.5, 1 and 3 given in Table 3 indicate the value for the load measured at 50%, at 100% and at 300% deformation of the test sample, respectively.

The symbols E' and E'' indicate the absolute values of the vectorial components of the complex modulus E^* ; more specifically, the first component relates to part of the stress imparted and stored by the material and then completely restored when the test sample returns to the normal state, and the second component relates to the other part of the stress absorbed by the compound and converted into unrecoverable heat energy. Tan-delta represents the hysteresis index and its value is given by the ratio E''/E' .

The dispersion X is an index, well known in the art, which expresses the degree of dispersion of reinforcing fillers in the polymer base by means of a value chosen in a scale from 1 to 10: the higher value, the greater the uniformity of the dispersion.

The dispersion Y is an index, well known in the art, which indicates the presence and dimensions of the aggregates of reinforcing filler in the polymer base. The importance of this factor is associated with the end use of the final product: for example, for tread compounds, good wear resistance can depend more on the absence of large-sized aggregates than on the uniformity of dispersion of the aggregates. The value of the dispersion Y is chosen in a scale from 1 to 10 in which, however, the higher the value, the smaller the size of the aggregates. The maximum value, equal to 10, indicates that there are no aggregates with an average diameter greater than 25 μm .

Table 4 below relates to the values of the main process parameters in the first mixer during the processing of a blend with the formulation mentioned above; Table 4 gives the acceptability ranges for the values of these parameters to obtain products with the properties given in Tables 1-3 above. In the Phase column, the moments taken into consideration are the most characteristic ones and are indicated by the same letter given in Figure 2.

Table 4

Phase	Time (sec)	±	Temp. °C	±	Power (kW)	±	Energy (kWh)	±
C	75	±10%	75	±5	700	±15%	9	±10%
F	105	±10%	90	±5	600	±15%	12	±10%
K	185	±5%	110	±4	500	±15%	14	±5%
J	204	±5%	120	±4	550	±15%	20	±5%
M	265	±5%	135	±4	450	±15%	24	±5%
Q	380	±3%	135	±3	170	±10%	27	±3%
T	490	±3%	135	±3	150	±10%	30	±3%

The process has been described in relation to processing in a batch mixer with phases identified from the curves in the diagram of Figure 2, although the processing process can be directed at compounds whose formulations may require processing times and temperatures different from those in Figure 2; alternatively, the phases of silicization and silanization can be carried out in two separate periods, i.e. during a first processing in the mixer followed by discharging and preferably also cooling of the blend, and then, during a new processing operation of the blend again in the same or in a different closed mixer, before carrying out the final compound processing with incorporation of the vulcanizing system.

Irrespective of the solution adopted, the manufacturing process makes use of a system for archiving the starting data, such as, for example, those relating to the reference compound, and an operational management system for the entire compound manufacturing cycle. This archiving and management system is not described since it can be based on data management programs of known type. It is briefly mentioned here that the checking of the various process parameters involves the sending of measurement signals to a computer in which the signals are compared with predetermined values already in the memory. The computerized system then makes any corrections to the process parameters.

The process of the invention produces products with properties of high and predetermined uniformity.

To demonstrate this high product uniformity, the process according to the invention described above and
5 identified by the values in Table 4 was compared with the process of the prior art, by carrying out with both the production of an identical compound in the same closed batch mixer. The properties to be achieved with both processes, both as regards the blend and as
10 regards the final product, after vulcanization, were those given by the numerical values defined in Tables 1, 2 and 3:

The known process was based on systematically measuring the properties of the blend product and of the compound
15 product, before and after vulcanization, but recording only the variations of the process parameters, and making the final selection of the acceptable products.

In contrast, the process according to the invention was based on continuous analysis, moment by moment, of the
20 main process parameters during the blend phase, automatically making the necessary corrections, in real time, when the value of these parameters began to move outside from the preset limits.

It was confirmed that the process according to the
25 prior art appreciably lengthened the cycle time interval, the variation range of the processing temperature and the amount of energy consumed when compared with the process of the invention. In addition, the prior art process led to the manufacture
30 of a certain number of blends which had to be rejected since, if introduced into the second mixer and then vulcanized, they would have given rise to compounds with properties outside the acceptability limits.

In particular, of the batches of compounds produced by
35 the known process, 1% had to be rejected since they did not satisfy the preset properties, and the reason for which 30% of these batches were rejected was due to the process, whereas, with the process according to the

invention, rejects due to the process were equal to zero.

Basically, the variability factor for the compounds obtained by the known process was substantially greater than that for the compounds obtained according to the invention. It can thus be reasonably asserted that the process according to the invention produces blends with better and reproducible uniformity than do the known processes. It is confirmed that the moment by moment monitoring of all the main parameters of the processing cycle constitutes an appreciable advantage, making it possible to correct any process anomalies in real time or, at least, to shut down the processing at a preliminary stage, thus avoiding the production of unacceptable blends and compounds, with a consequent saving of materials, time and energy consumed.

In addition, the variation over time of a given process parameter is always placed in relation to the variation of the other parameters, as a result of which, if the variation in the value of a given parameter, even if within the range of values deemed acceptable, were to give rise to a variation in the value of another parameter outside its acceptability range, this irregularity would be observed immediately and it would be possible to remedy it immediately by means of a suitable and predetermined correction of the direct process parameters.

In summary, with the process according to the invention, it is no longer necessary to carry out all the checks mentioned earlier on the final compound in order to have the guarantee of an acceptable product.

In practice, the process according to the invention makes it possible, in situ and in real time, to correct one or more main process parameters should certain range values be exceeded, thus avoiding the production of irregular blends and compounds.

For example, there might be a lower temperature profile during the silanization phase, with prolongation of the duration of the cycle beyond acceptable limits.

The system corrects itself automatically on the basis of previously defined indications, for example increasing in this case the predetermined number of rotations of the rotors or the pressure of the piston, or both, with a consequent increase in the temperature, so as to discharge the material within the preset time, temperature and energy ranges.

It should also be noted that, according to the known art, it was necessary to systematically check the values of the moduli CA 0.5, CA 1 and CA 3 on the vulcanized product by attaching loads to suitable test samples and examining the deformations, all of which involved long tests, with possible variability over time of the results of these checks, with long waiting periods before authorizing the production of a fresh batch and/or the use of the material produced. Since the process according to the invention has defined the tolerance ranges of the main processing parameters, it has the advantage of avoiding the implementation of laborious analyses on the finished product or possible analyses on materials during processing in the batch device.

In practice, clearance is no longer given by examining all the properties of the final compound, but, rather, the process parameters and a very limited number of product characteristics, thereby successfully reducing the costs and at the same time improving the reliability of the results.

Controlling the main process parameters of the first phase rather than systematically checking the final compound advantageously reduces the costs and improves the reliability of the results.

This therefore demonstrates how moment by moment knowledge of the process parameters in the first mixer and their tolerability range makes it possible to reproduce over time the values of these parameters with the guarantee of obtaining subsequent cycles all carried out with a substantially equal energy consumption optimized at the start.

The energy consumption is thus preferably monitored moment by moment at the same time as the other parameters from the start of the working cycle up to the moment of discharge of the blend.

- 5 It is also clear that the invention is not limited strictly to the description given above, but rather that any alternative approach or solution is also considered as being included, even if it is not specifically described, but can nevertheless be readily
10 deduced for any person skilled in the art on the basis of the present inventive solution.

For example, the process is also valid when the processing phases are carried out in a batch device with a pair of intermeshing rotors.

C L A I M S

1. Process for manufacturing a tyre compound comprising as a minimum a polymer base, a silica reinforcing filler, a silica-binding agent and further product and process additives, the said process comprising at least a first phase of processing the said ingredients to produce a blend and at least a second phase of processing the said ingredients to add a crosslinking system to the said blend and produce the said compound, at least the said first processing phase being carried out in a closed mixer comprising a container inside which rotates a pair of rotors, a hopper for introducing the said ingredients, a piston located above the said container which can move to and from the said pair of rotors to press the said ingredients between the rotors, and an outlet below the said container for discharge of the said blend, the said process being identified by at least two indirect process parameters, namely the power absorbed by the said pair of rotors and the temperature of the said blend, and by at least two direct process parameters, namely the number of rotations of the said pair of rotors and the pressure exerted by the said piston, the said process comprising the phases of:
 - checking, during the manufacturing cycle, at least the values of the said two indirect process parameters, with an interval between two successive checks which is not greater than two minutes,
 - controlling the variable change of the said values by means of varying at least one of the said direct process parameters, so as to keep the values of each of the said indirect parameters within their respective range of predetermined values.
2. Process according to Claim 1, characterized in that the said interval is less than one second.

3. Process according to Claim 1, characterized in that it is used for the manufacture of compounds comprising at least the following ingredients, in variable amounts, per one hundred parts by weight (phr) of polymer base, between the following limits:
- | | |
|-------------------------------|----------------------|
| Polymer base | 100 |
| Carbon black | 0-80 |
| Silica | 10-80 |
| Silica-binding agent | 4%-15% of the silica |
| Zinc oxide (ZnO) | 1-3 |
| Stearic acid | 0-3 |
| Anti-deteriorating agents | 1-3 |
| Plasticizing oil | 0-30 |
| Anti-ozone wax | 0.5-3 |
| Specific chemical ingredients | 0-15 |
4. Process according to Claim 3, characterized in that the said ranges of values are predetermined in relation to each specific compound to be produced.
5. Process according to Claim 4, characterized in that the method for predetermining the said ranges of values comprises at least the following phases:
- determining, in a specific reference compound, the average values and the related variability range for the said values for a plurality of properties both for the particular blend and for the compound, before and after vulcanization;
 - producing a sample compound using selected initial process parameters;
 - comparing the values of each of the said properties measured in the said sample compound with the corresponding values of the said reference compound;
 - modifying at least one of the said initial process parameters in relation to the values measured in the said sample compound which may be outside the said variability range;

- e) repeating phases b), c) and d) until all the values for the said properties measured in the said sample compound are within the said predetermined ranges of values;
- 5 f) setting, as process parameters for each specific compound to be produced, the average values and the variability range of the said process parameters which generate values of the said properties measured in the said sample
- 10 compound which are within the said predetermined ranges of values.
6. Process according to Claim 5, characterized in that the viscosity values and the percentage of silane reacted with silica are checked in the blend of the
- 15 sample compound, free of crosslinking system.
7. Process according to Claim 5, characterized in that the viscosity values, the percentage of silane reacted with silica and the rheometric properties are checked in the sample compound before
- 20 vulcanization.
8. Process according to Claim 5, characterized in that the density, hardness, modulus of elasticity, breaking load and elongation values of the sample compound are checked after vulcanization.
- 25 9. Process according to Claim 1, characterized in that the said first phase of processing the said ingredients comprises a phase of silicization followed by a phase of silanization, the said silicization phase being carried out at
- 30 substantially increasing temperature, the said silanization phase being carried out at substantially constant temperature.
10. Process according to Claim 9, characterized in that the said silicization phase comprises at least
- 35 three processing cycles carried out at different rotation speeds of the said rotors, with the said rotation speeds gradually decreasing.
11. Process according to Claim 9, characterized in that the said substantially increasing temperature in

the said silicization phase is obtained by at least three peaks of supplied power.

12. Process according to Claim 11, characterized in that the said power peaks are obtained by lowering the piston towards the said pair of rotors.
13. Process according to Claim 9, characterized in that the said silanization is carried out with the said rotation speed of the said rotors substantially constant.
14. Process according to Claim 1, characterized in that the said indirect process parameters comprise the energy absorbed by the said pair of rotors.
15. Rubber compound for tyres, comprising at least the following ingredients, in variable amounts, per one hundred parts by weight (phr) of polymer base, between the following limits:
- | | |
|-------------------------------|----------------------|
| Polymer base | 100 |
| Carbon black | 0-80 |
| Silica | 10-80 |
| Silica-binding agent | 4%-15% of the silica |
| Zinc oxide (ZnO) | 1-3 |
| Stearic acid | 0-3 |
| Anti-deteriorating agents | 1-3 |
| Plasticizing oil | 0-30 |
| Anti-ozone wax | 0.5-3 |
| Specific chemical ingredients | 0-15 |
- characterized in that it is manufactured by a process according to Claim 1.
16. Tyre for vehicle wheels which is provided with a tread band made of a rubber compound comprising a silica filler in an amount of between 40 and 80 parts by weight per 100 parts by weight of the polymer base and a silica-binding agent in an amount of between 4% and 15% of the silica filler, characterized in that the said rubber compound was produced by a process according to Claim 1.

A B S T R A C T

In a process for manufacturing a tyre compound comprising a polymer base, silica and a silica-binding agent, in a batch mixing device, a blend comprising the materials mentioned is processed together with various ingredients, while continuously checking that the curves for the power supplied, the energy absorbed and the temperature inside the mixer are within a range of predetermined values, so as to avoid or at least substantially reduce the systematic checks on the final compound, preliminary to giving clearance for the reproduction of the product and the process.

The process also involves controlling the power and temperature curves by means of adjusting the number of rotations of the rotors and the movements of a piston, which is included in the device, in one direction or in the opposite direction against the blend being processed, so as to keep the process parameters for the blend within defined ranges of values.